Form PTO-1390	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE						
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		CONFIRMATION NO. 2020					
CONCERNING A FILING	J ONDER 33 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 CFR 1.5 10/598,916					
INTERNATIONAL APPLICATION NO. PCT/JP05/05446	INTERNATIONAL FILING DATE 17 March 2005	PRIORITY DATE CLAIMED 17 March 2004					
TITLE OF INVENTION DRUG DELIVERY SYSTEM USING AN I	MMI INE RESPONSE SYSTEM						
APPLICANT(S) FOR DO/EO/US	IMMONE RESIGNSE STOTEM						
Naoya KOJIMA, Yoshitaka SHIMIZU, Yuz	uru IKEHARA and Hayao NAKANISHI						
Applicant herewith submits to the United Sta	ates Designated/Elected Office (DO/EO/US)	the following items and other information.					
1. This is a FIRST submission of items	s concerning a filing under 35 U.S.C. 371.						
2. Main This is a SECOND or SUBSEQUE	NT submission of items concerning a filing	under 35 U.S.C. 371.					
3. This is an express request to prompt (5), (6), (9) and (23) indicated below		35 U.S.C. 37I(f)). The submission must include items					
4.   The US has been elected (PCT Articles)	cle 3I).						
5. A copy of the International Applica a. is attached hereto (required of b. has been communicated by the	only if not communicated by the Internationa	al Bureau).					
	ation was filed in the United States Receivin	g Office (RO/US).					
<ul> <li>6. An English language translation of ta.</li> <li>a. is attached hereto.</li> <li>b. has been previously submitted</li> </ul>	the International Application as filed (35 U.s	S.C. 371 (e)(2)).					
- ·	ternational Application under PCT Article I	9 (35 U.S.C. 37I(c)(3))					
<ul><li>a.  are attached hereto (required</li><li>b.  have been communicated by</li></ul>	l only if not communicated by the Internation the International Bureau. er, the time limit for making such amendmen	nal Bureau).					
8. An English language translation of t	the amendments to the claims under PCT Ar	ticle 19 (35 U.S.C. 37I(c)(3)).					
9. An oath or declaration of the invent	or(s) (35 U.S.C37I(c)(4)).						
"EXECUTED"							
<ol> <li>An English language translation of t 371(c)(5)).</li> </ol>	the annexes to the International Preliminary	Examination Report under PCT Article 36 (35 U.S.C.					
Items 11 to 22 below concern other docum	nent(s) or information included:						
11. Assignee:							
12. An Information Disclosure Statemen	nt under 37 CFR I.97 and I.98.						
13. An assignment document for record	ling. A separate cover sheet in compliance v	with 37 CFR 3.28 and 3.31 is included.					
<ul><li>14.  A FIRST preliminary amendment.</li><li>A SECOND or SUBSEQUENT pre</li></ul>	liminary amendment						
15. An Application Data Sheet under 33							
16. A substitute specification.	•						
17. A power of attorney and/or change	of address letter.						
18.  Figure of Drawing to be published:							
19. A computer-readable form of the se	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.						
20. A second copy of the published inte	ernational application under 35 U.S.C. I54 (c	<b>d)</b> (4).					
21. A second copy of the English langu	age translation of the international of the int	ernational application under 35 U.S.C. I54 (d) (4).					
22.  Other items or information:							
Form PCT/DO/EO/905.							
Cover Letter.							

CFR 1.5) 10/598,916	O. (If known, see 37	PCT/JP05/05446	L APPLICATION NO.				
		L		CALCULATIONS	PTO USE ONLY		
23  The following fees are submitted:			\$	TTO OBD ONDI			
Basic national fee							
24  Examination Fee If International preliminary PCT Article 33 (1)-(4) All other situations		\$					
25 Search fee				\$			
Search fee (37 CFR 1.445(a) (2)) has been paid on the international application to the USPTO as an International Searching Authority							
TOTAL 23, 24 and 25 =				\$			
Additional Fee for Specification and drawings filed in paper over 100							
sheets (excluding sequence listing or computer program listing filed in electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets	Number of ea additional 50 fraction there (round up to a v	or of				
-100=	/50 =	number)	6250.00	•			
		relevation leter then	x \$250.00	130.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				130.00			
Claims	Number Filed	Number Ext	ra Rate				
Total Claims	- 20 =		x \$50.00	\$ 0.00			
Independent Claims	- 3=		x \$200.00	\$ 0.00			
Multiple Dependent Claim(s) (if applicable) + \$360.00				\$ 0.00			
TOTAL OF ABOVE CALCULATIONS =				\$130.00			
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are			\$ 0.00				
reduced by ½.				010000			
SUBTOTAL =				\$130.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (i)).				\$ 0.00			
Extension of Time fee in the amount of \$				\$ 0.00			
TOTAL NATIONAL FEE =				\$130.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0.00			
TOTAL FEES ENCLOSED =				\$130.00			
				Amount to be refunded	\$		
		Charged	\$				
a. X A check in the amo	ount of \$130.00 to cover	the above fees is incl	luded.	1 38-2	-		
<ul> <li>a.  A check in the amount of \$130.00 to cover the above fees is included.</li> <li>b.  Please charge my Deposit Account Noin the amount of \$ to cover the above fees.</li> </ul>							
<ul> <li>c.  ☐ The U.S. Patent and Trademark Office is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0089.</li> <li>d. ☐ Fees are to be charged to a credit card. WARNING: Information on this form may become public.  Credit card information should not be included on this form. Provide credit car information and authorization on PTO-2038.</li> <li>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</li> </ul>							
SEND ALL CORRESPONDENCE TO CUSTOMER NO. 07055				MANNING THE			
AT THE PRESENT ADDRESS OF:			SIGNATURE				
Bruce H. Bernstein	ompan a c		Stephen M. Royland	90			
GREENBLOW & BERNSTEIN, T.E.C.			Bruce H. Bernstein NAME				
1950 Roland Clark Place Reg. No. 31,296							
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P30703

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

: N. KOJIMA et al.

Mail Stop PCT

**PCT Branch** 

Appl. No:

: 10/598,916

(PCT/JP05/05446)

I. A. Filed

: 17 March 2005

For

: DRUG DELIVERY SYSTEM USING AN IMMUNE RESPONSE SYSTEM

## **COVER LETTER**

## **Mail Stop PCT**

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop PCT
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

In order to comply with the requirements (copy enclosed) for the submission of an executed Declaration set forth in the Notification mailed May 07, 2007 which sets a two-month period of response until July 09, 2007 (being that July 07, 2007 falls on a Saturday), Applicants hereby submit:

- Transmittal Letter to the United States Designated/Elected Office Concerning a Filing
   Under 35 U.S.C. 371;
- an executed Declaration and Power of Attorney;
- a check in the amount of \$130.00 to cover the surcharge for late filing of the Declaration.

The U.S. Patent and Trademark Office is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0089.

Should the Examiner have any questions, he is invited to contact the undersigned at the

## P30703

below-listed number.

Respectfully submitted, N. KOJIMA et al.

Bruce H. Bernstein Reg. No. 29,027

Stephen M. Roylance Reg. No. 31,296

July 09, 2007 GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clarke Place Reston, VA 20191 (703) 716-1191